## DT03 Rec'd PCT/PTO 23 DEC 2004

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES ATTTORNEY'S DOCKET NUMBER PD-202109/10 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APP 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. PCT/US03/20862 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 1 JULY 2003 1 JULY 2002 TITLE OF INVENTION IMPROVING HIERARCHICAL 8PSK PERFORMANCE APPLICANT(S) FOR DO/EO/US Ernest C. Chen and Joseph Santoru Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. XX This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3 This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). XX A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. c. XX is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). <sub>7</sub> 🖾 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. XX An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. 🔲 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. XX A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

20. 📖

Other items or information:

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.						ATTORNEY'S DOCKET NUMBER				
	0/5193	<u> 22                                   </u>	PCT/US03/2086	2		PD-202109/10				
	ng fees are submitted:	<u></u>								
XX a) Basic na	tional fee	\$300.00	\$ 300.00							
	ation fee	\$ 200.00	·							
C) Search f	ee	\$500.00	\$ 500,00	· · · · · · · · · · · · · · · · · · ·						
	TOTAL OF ABOVE CAL	1000.00	\$ 1000.00							
listing or co	ee for specification and di mputer program listing file 0 sheets of paper or fract									
Total Sheets	Extra sheets	each additional 50 or fraction bund <b>up</b> to a whole number)								
- 100 =	/50 =		x \$			\$				
	30.00 for furnishing the or late (37 CFR 1.492(e)).	\$								
CLAIMS NUMBER FILED		NUMBER EXTRA	TRA RATE		\$					
Total claims		- 20 =	0	x	\$50.00	\$				
Independent clai	ms 3	- 3 =	0	x	\$200.00	\$				
MULTIPLE DEPI	ENDENT CLAIM(S) (if ap	plicable)		+	\$360.00	\$				
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	g the enclosed assignment cover sheet (37 CFR 3.	\$								
,		NCLOSED =	\$ 1000.00	-						
		Amount to be refunded:	\$							
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a. A chec	ck in the amount of \$		to cover the abov	e fees	is enclosed.					
b.XXX  Please charge my Deposit Account No. 50-0494 in the amount of \$ 1000.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.										
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d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status.										
SEND ALL COR	RESPONDENCE TO:				,	1.				
		lan								
The DIRECTV Group, Inc.  Patent Docket Administration  SIGNATURE										
RE / R11 / A109 Victor G.						Cooper				
2240 East Imperial Highway NAME						•				
El Segundo, CA 90245 39,641										
					REGISTRATIO	ON NUMBER				

10/519322

Applicant(s):

Ernest C. Chen and Joseph Santoru

Docket:

PD-202109/10

Title:

IMPROVING HIERARCHICAL 8PSK PERFORMANCE

**CERTIFICATE OF MAILING UNDER 37 CFR 1.10** 

'Express Mail' mailing label number: EV 329956538 US

Date of Deposit: December 23, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450.

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

Transmittal sheet, in duplicate, containing Certificate Of Mailing Under 37 CFR 1.10.

Form PTO-1390: TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35
U.S.C. 371.

The fee has been calculated as shown below in the "Claims as Filed" table.

A Preliminary Amendment - 6 pages.

⊠ Copy of Declaration of Inventorship as filed in PCT/US03/20862.

A charge to Deposit Account in the amount of \$1,000.00 to cover the Total National Fee

Return postcard.

## **CLAIMS AS FILED**

Number of Claims Filed	In Excess of:		Number Extra		Rate		Fee				
Basic Filing Fee (Basic national fee + Examination fee + Search fee)							\$1,000.00				
Total Claims											
18	20	_	0	х	\$50.00	_	\$0.00				
Independent Claims											
3	3	=	0	х	\$200.00	=	\$0.00				
MULTIPLE DEPENDENT CLAIM FEE											
TOTAL NATIONAL FEE											

Please charge all fees to Deposit Account No. 50-0494. A duplicate of this sheet is enclosed.

**GATES & COOPER LLP** 

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, CA 90045 (310) 641-8797 Name: Victor G. Cooper

Reg. No.: 39,641 Initials: VGC/dr